

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Jim Beckham

Serial No.:

10/726,960

Filed:

December 3, 2003

Group:

To Be Assigned

Examiner:

To Be Assigned

For:

MEDICAL BALLOON

Mail Stop DD Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

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INFORMATION DISCLOSURE STATEMENT

In accordance with the requirements of 37 C.F.R. §§ 1.56, 1.97, and 1.98, attached please find a Form PTO SB/08 listing information for consideration by the Office in connection with its examination of the above-captioned patent application.

It is brought to Examiner's attention that the references listed on the attached Form PTO SB/08 were previously submitted to the Office in connection with prior applications, namely Application Serial No. 09/523,817, filed on March 13, 2000, this application has been allowed and the Issue Fee paid on January 13, 2004, and Application Serial No. 08/873,413, filed on June 12, 1997, and it is abandoned, which are relied upon in the current application for an earlier filing date under 35 U.S.C. §120. Pursuant to 37 C.F.R. 1.98(d), copies of such previously submitted items are not provided herein.

Applicant requests that this information disclosure statement be considered and that a copy of the Form PTO SB/08 be returned to the undersigned indicating the consideration of each document listed.

REMARKS

Applicant submits that no representation is made, and no representation is intended, that more relevant material does not exist, or that the order of presentation of these materials in any way reflects their relevant pertinence. The listing on the attached Form PTO SB/08 is not intended to constitute an admission of any kind. Specifically, this presentation is not an admission that any of the items listed are properly citable against the above-identified application as prior art. Applicant respectfully submits that his invention is patentable over the enclosed documents listed on Form PTO SB/08.

To Applicant's knowledge, this information disclosure statement is being filed before the mailing date of a first Office Action on the merits. Therefore, pursuant to 37 C.F.R. §1.97(b)(3), no fee is believed necessary for its consideration. Please charge any necessary fees or deficiencies in fees necessary for the filing of this paper or credit any overpayment to Deposit Account No. 20-0780/FMED-26,553 of HOWISON & ARNOTT, L.L.P.

Respectfully submitted,

HOWISON & ARNOTT, L.L.P. Attorneys for Applicant

David C. Cain

Registration No. 45,337

DCC/yoc

P.O. Box 741715 Dallas, Texas 75374-1715 Tel. (972) 479-0462 Fax. (972) 479-0464 April 1, 2004

PTO/SB/08A (08-03) Approved for use through 07/31/2006. OMB 0651-0031

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	10/726,960			
Filing Date	December 3, 2003			
First Named Inventor	James P. Beckham			
Art Unit	To Be Assigned			
Examiner Name	To Be Assigned			
Attorney Docket Number	FMFD26 553	7		

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Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (F known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	01	^{US-} 5,201,706	04/13/93	Noguchi et al.	
	02	^{US-} 5,207,700	05/04/93	Euteneuer	
	03	^{US-} 5,304,340	04/2994	Downey, Wolcott M.	
	04	^{US-} 5,470,314	11/28/95	Wallinsky	
	05	^{US-} 5,549,552	08/27/96	Peters et al.	
	06	^{US-} 5,554,120	09/10/96	Chen et al.	
	07	^{US-} 5,759,172	06/02/98	Weber et al.	
	08	^{US-} 5,599,576	02/04/97	Opolski	
	09	^{US-} 5,647,848	97/1997	J o slashed rgensen, Ole	
	10	^{US-} 5,868,779	02/1999	Ruiz, Carlos E.	
	11	^{US-} 6,024,722	02/05/00	Rau et al.	
		US-			
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	۲
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Examiner		Date	
Signature	·	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.